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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)		Docket Number 006878.114501	
Applicant(s): Emerson Jones et al.			
Serial No. 10/676,297	Filing Date September 30, 2007	Examiner Ali, M	Group Art Unit 3609

Invention: METHOD AND SYSTEM FOR ANALYZING A CAPITAL STRUCTURE FOR A COMPANY

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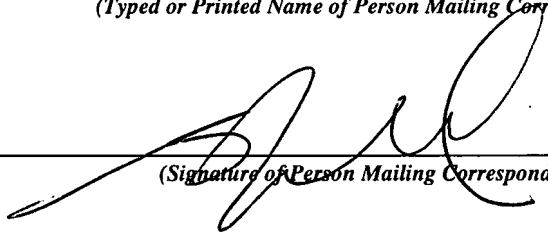
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Jones, et al.	: Group Art Unit: 3609
Serial No.: 10/676,297	: Examiner: Ali, M
Filed: September 30, 2003	: Attorney Docket No.: 006878.114501
For: Method and System for Analyzing A	:
Capital Structure For A Company	:

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
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P.O. Box 1450
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Sir:

This Information Disclosure Statement is filed pursuant to 37 C.F.R. 1.97(b)(3). In this regard, it is noted that there has not yet been a mailing of a first Office Action on the merits.

Of further note, no representation is intended that a complete search has been made by the applicants or that no better art references than those provided herewith are available. Moreover, the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited is, or is considered to be, material to patentability.

Respectfully submitted,
GREENBERG TRAURIG, LLP

Dated: July 20, 2007

By: 
Matthew B. Tropper
Registration No. 37,457

Mailing Address:
GREENBERG TRAURIG, LLP
MetLife Building
200 Park Avenue
New York, NY 10166
Telephone: (212) 801-2100
Facsimile: (212) 801-6400

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Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number	11/676,291
		Filing Date	Sept. 30, 2003
		First Named Inventor	Jones
		Art Unit	3609
		Examiner Name	Ali M
Sheet 2 of 3	Attorney Docket Number	006878 114501	

U. S. PATENT DOCUMENTS					
Examiner Initials ¹	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 2003/0236754	12-25-2003	Lance	
		US- 2004/0005520	01-08-2004	Birto, Jr. et al.	
		US- 5,704,045	12-30-1997	Hing et al.	
		US- 5,742,775	04-21-1998	Hing	
		US- 5,802,501	09-01-1998	Graff	
		US- 6,061,662	05-09-2000	Markovic	
		US- 6,148,293	11-14-2000	Hing	
		US- 6,167,384	12-26-2000	Graff	
		US- 6,192,347	02-20-2001	Graff	
		US- 6,278,981	08-21-2001	Dembu	
		US- 6,326,212	11-20-2001	Lance	
		US- 6,546,375	04-08-2003	Park et al.	
		US- 6,061,662	05-09-2000	Markovic M.	
		US-			
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FOREIGN PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
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Substitute for form 1449B/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	20 676 297
		Filing Date	Sept. 30, 2003
		First Named Inventor	JONES
		Art Unit	3609
		Examiner Name	Ali, M.
Sheet 3 of 3	Attorney Docket Number	006878-114501	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		ISDA Letter to Mr. Timothy Lucas, Director of Research and Technical Activities, FASB, April 30, 2001	
		The Analyst's Accounting Observer Letter to Mr. Timothy Lucas, FASB, May 3, 2001	
		Six Batch of Proposed IAS 39 Implementation Guidance Questions and Answers, Draft issued for comment on June 22, 2001	
		Exposure Draft of Proposed Amendments to IAS 32 and IAS 39, dated 2002	
		Intermediate Accounting by Loren Nikolai and John Baxley PWS-Kent Publishing Company 5th Edition, 1991 pp. 1183-1205	
		Sams Teach Yourself Excel 97 in 10 Minutes by Jennifer Fulton, Sams, 1998 pp. 152-157	

Examiner Signature		Date Considered	
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